

Distributed Uplink Scheduling in EV-DO Rev. A Networks

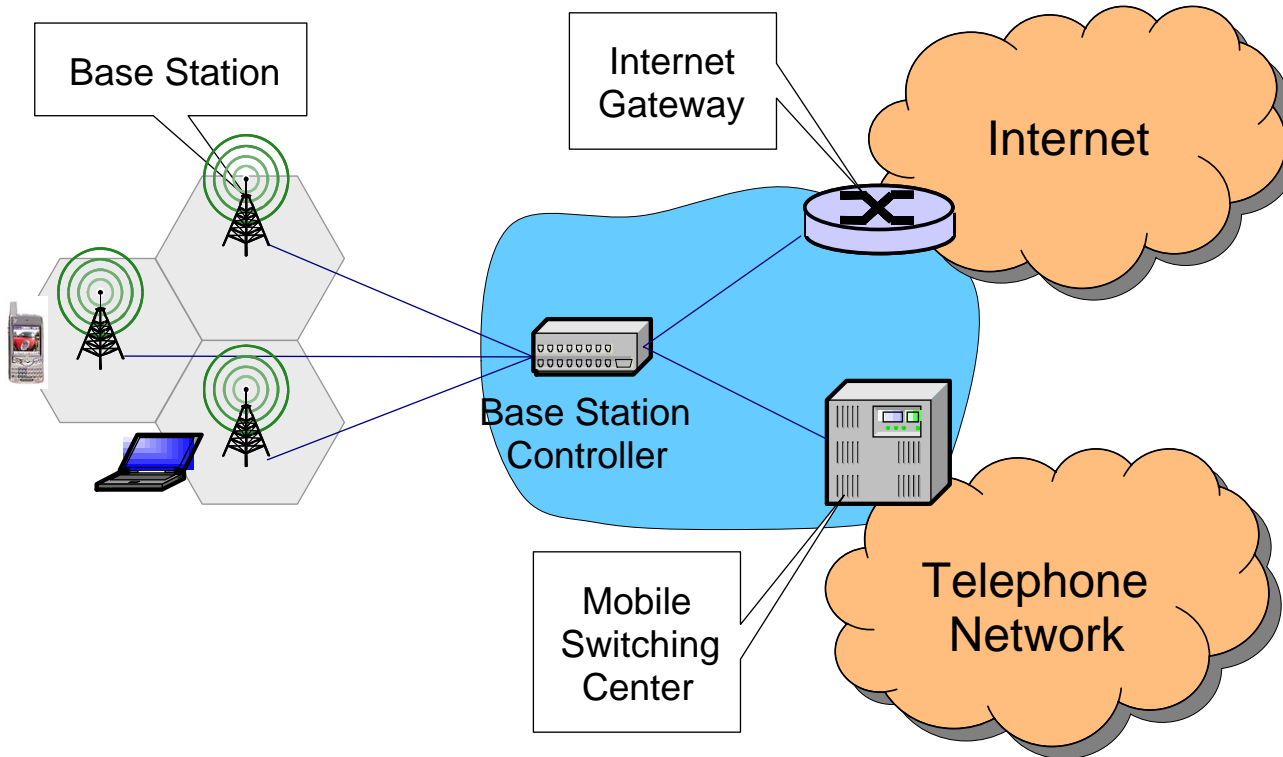
Ashwin Sridharan (Sprint Nextel)

Ramesh Subbaraman, Roch Guérin
(ESE, University of Pennsylvania)

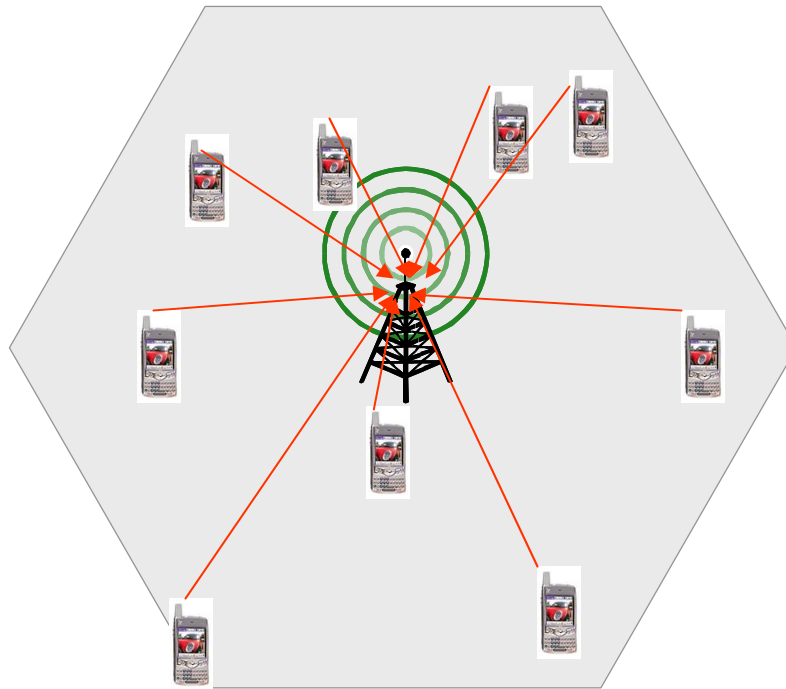
Overview of Problem

- Most modern wireless systems
 - Deliver high performance through tight control of transmissions by the Base Station (which devices, when & at what power)
- Most modern wireless devices
 - Run a broad range of applications with different communication needs (voice, video, web, email, SMS)
- Centralizing all decisions at the base station lacks flexibility and scalability
 - Latest wireless standards include mechanisms for partially delegating transmission decisions to devices
- But there is a cost in giving devices autonomy in making independent transmission decisions?
 - Sub-optimal resources sharing can impact overall throughput
- How big is the problem?
- What policies/mechanisms to best mitigate those effects?

System Overview



Our Focus



Overview of Results

- Assessing the impact of independent (uplink) user transmissions
 - Saturated, homogenous users
 - Randomized policies (transmission probability p)
 - Optimal value for p with significant impact on throughput
 - Threshold behavior as a function of system load
- Realizing optimized distributed transmissions in token bucket controlled systems
 - Selecting transmission probabilities to approximate optimal policies under bucket constraints

Outline of Talk

- A short primer on wireless transmissions
 - CDMA uplink
 - EV-DO Rev. A operation
- Previous works
- Modeling distributed transmission decisions
 - Analysis of randomized policies
- Emulating optimal policies
 - Token-bucket controlled systems
- Extensions of results and future work

Overview of CDMA Uplink

- CDMA uplink is **interference limited**
 - Each user has a spreading "orthogonal" code
 - Allows *simultaneous* transmissions
 - However, users interfere due to multi-path effects
- Users can select among multiple (discrete) **transmission rates**
 - Control loop based on pilot signal equalizes channel among users
 - Transmitted power is proportional to pilot strength AND selected rate

Uplink Operation

- Pilot P_i transmitted by device $i=1,\dots,n+1$
 - Pilot signals are power controlled by BS to all be received with the *same* target SINR $1/\Phi$

$$\frac{1}{\phi} = \frac{G_{loss}^i P_i}{\sigma^2 + \theta_{Pilot} \sum_{j \neq i} G_{loss}^j P_j} \Rightarrow G_{loss}^i P_i = \Delta = \frac{\sigma^2}{\phi - n\theta_{Pilot}}, \forall i = 1, \dots, n+1$$

- G_{loss}^i : Path loss; θ_{Pilot} : Orthogonality factor; σ^2 : Noise
- User i transmit power = $P_i \cdot TxT2P[R]$
 - $R \in \mathfrak{R}$: Target data rate from discrete set \mathfrak{R}
 - $TxT2P[R]$: Proportionality factor relative to Pilot
 - User spends $TxT2P[R]$ power *tokens* to transmit at rate R

Sample $T_xT2P[R]$ Values

Target Data Rate	$T_xT2P[R]$ dB
0	$-\infty$
9.6 kbps	4.5
19.2 kbps	6.75
38.4 kbps	9.75
76.8 kbps	13.25
153.6 kbps	18.5

CDMA Uplink Interference Model

$$SINR_i(R_i) = \frac{G(R_i) \cdot G_{loss}^i \cdot P_D^i(R_i)}{\sigma^2 + \theta \sum_{j \neq i} G_{loss}^j \cdot P_D^j(R_j)}, \theta: \text{Data orthogonality factor}$$

$$G(R_i) = \frac{W}{R_i}: \text{Processing Gain and } P_D^i(R_i) = P_i \cdot TxT2P[R_i]$$

$$\Rightarrow SINR_i(R_i) = \frac{G(R_i) \cdot TxT2P[R_i] \cdot \Delta}{\sigma^2 + \theta \sum_{j \neq i} TxT2P[R_j] \cdot \Delta}, \Delta = \frac{\sigma^2}{\phi - n\theta_{Pilot}}$$

- Interferences from other users
 - The higher the rate a user chooses, the more interference it creates!

No Channel Effects
(Perfect Power Control)

Our Problem

$$SINR_i(R_i) = \frac{G(R_i) \cdot TxT2P[R_i] \cdot \Delta}{\sigma^2 + \theta \sum_{j \neq i} TxT2P[R_j] \cdot \Delta}, i = 1, \dots, n + 1$$

- Users make independent transmission and rate selection decisions
 - Greedy behavior by individual users can affect overall performance
- What guidelines to mitigate negative impact of independent decisions

Previous Work

- Extensive work on rate allocation and power control
 - Assumes continuous transmission (no scheduling).
- Scheduling in CDMA ad-hoc networks
 - Assumes synchronization, contention resolution.
- Closest work that of [3], [4]
 - Scheduling in cellular CDMA.
 - Solves centralized global allocation numerically.

Our Initial Model

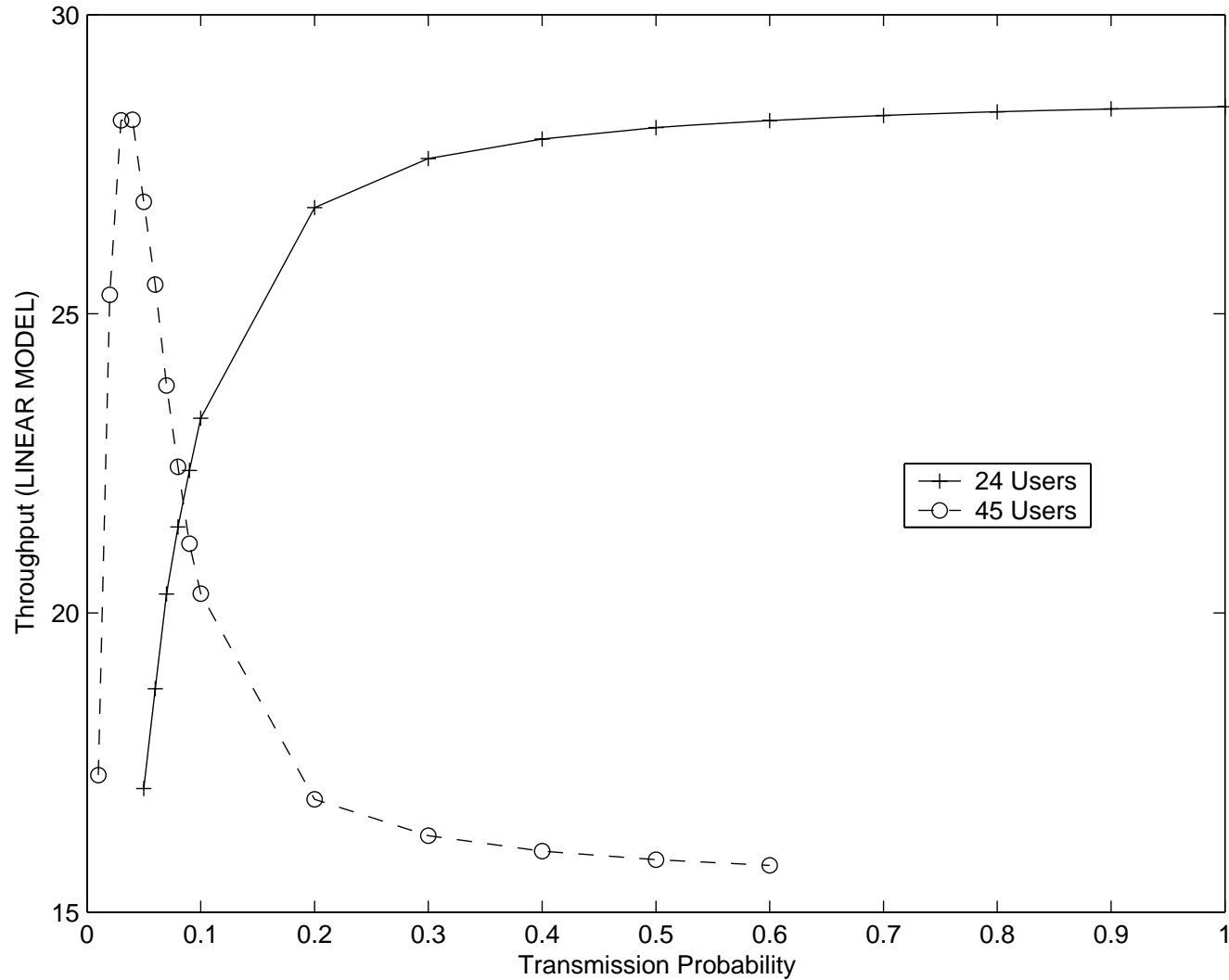
- Homogenous, unconstrained users
 - All users ($n+1$ users in a sector) employ the same policy
 - Users always have data and are able to transmit whenever the policy schedules a transmission
- Probabilistic On-Off transmission policy
 - Transmit at rate R in a slot with probability p
 - Transmit power is therefore 0 with probability $1-p$ and $\sim TxT2P[R]$ with probability p
- Simple but useful model
 - Similar to Aloha
 - But with a contention model based on soft interferences (CDMA) rather than "collisions"
- Questions
 - At what rate R should a user transmit?
 - How often (what p value) should a user transmit?

Main Results

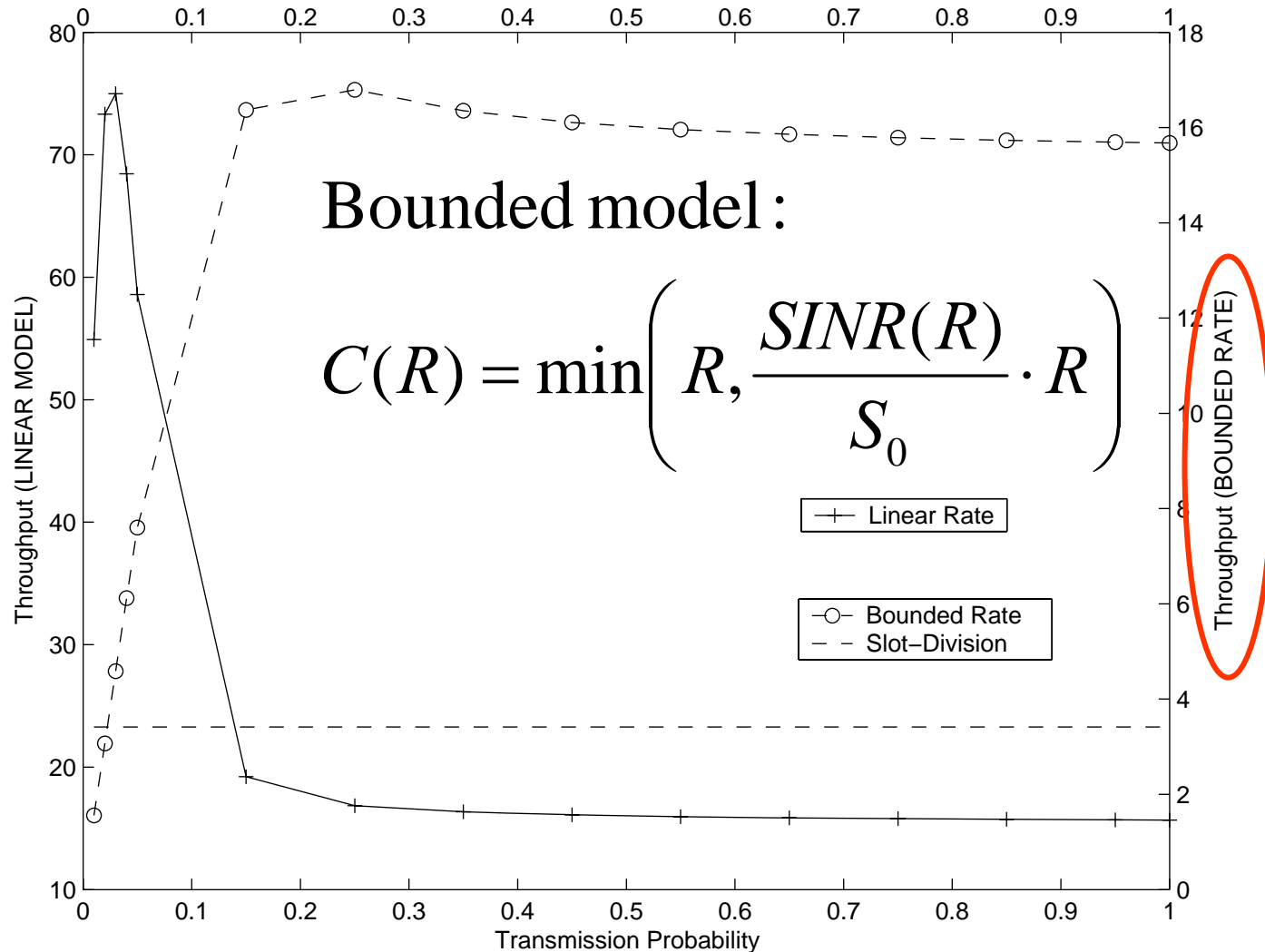
- There exists an optimal p^* (maximizes $\hat{C}(p)$)
 - If $\delta \geq 1$ then $p^* = 1$
 - If $\delta < 1$ then $p^* < 1$
 - In both cases p^* satisfies the following equality

$$\sum_{j=0}^n \frac{1}{j + \delta} \binom{n}{j} p^{*j} (1 - p^*)^{n-j} = \frac{1}{(n+1)p^* - 1 + \delta}$$
 - With few (many) users, and/or low (high) target rate R , users should transmit (in)frequently
- Higher target rates always achieve higher throughput, i.e., $\hat{C}(p_1^*, R_1) > \hat{C}(p_2^*, R_2)$, if $R_1 > R_2$
 - In the absence of other constraints

Impact of δ



Hybrid Slotted/CDMA



Distributed Control

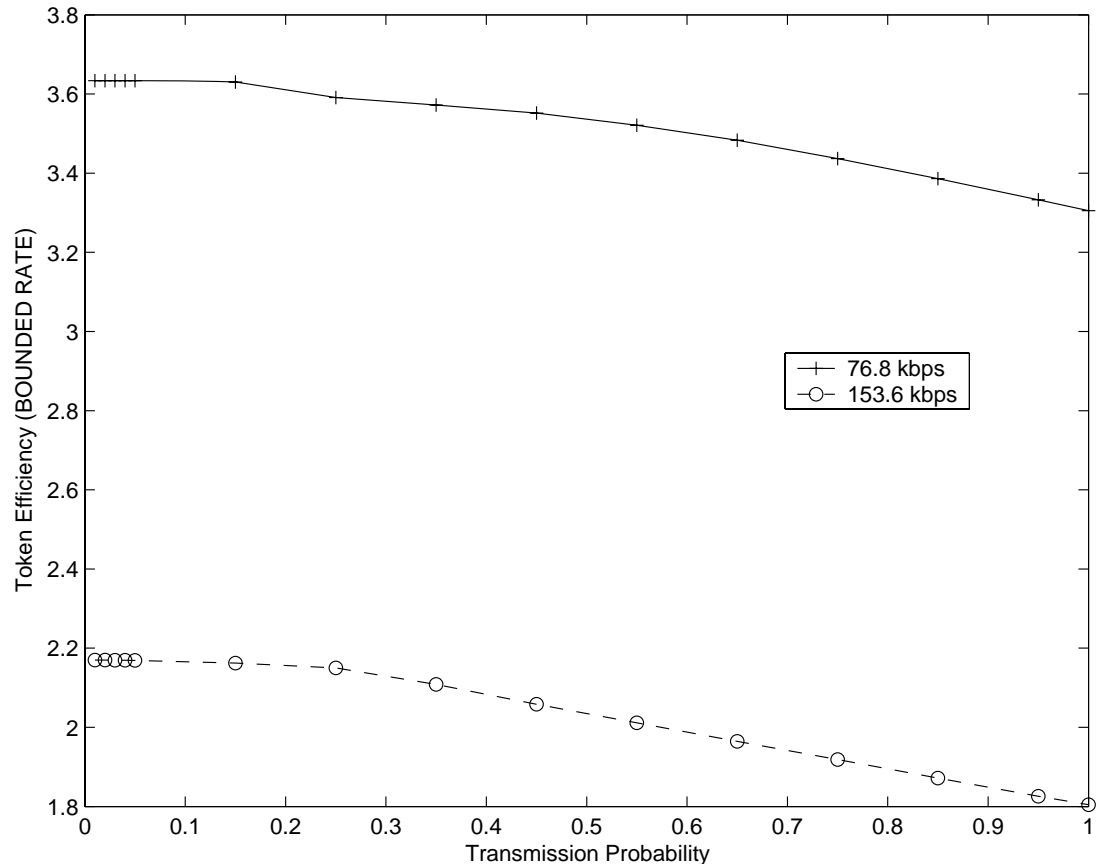
- Token bucket mechanism available in EV-DO Rev. A and HSUPA to "control" device transmissions
 - Token bucket depth σ and token fill rate ρ are controlled by Base Station
 - A device needs $T_x T_2 P[R]$ tokens to transmit at rate R
 - Aimed at limiting peak and average power to satisfy fairness and QoS constraints
- Question: How does the presence of a token bucket affect the choice of "good" transmission decisions by devices?

Accounting for Token Buckets

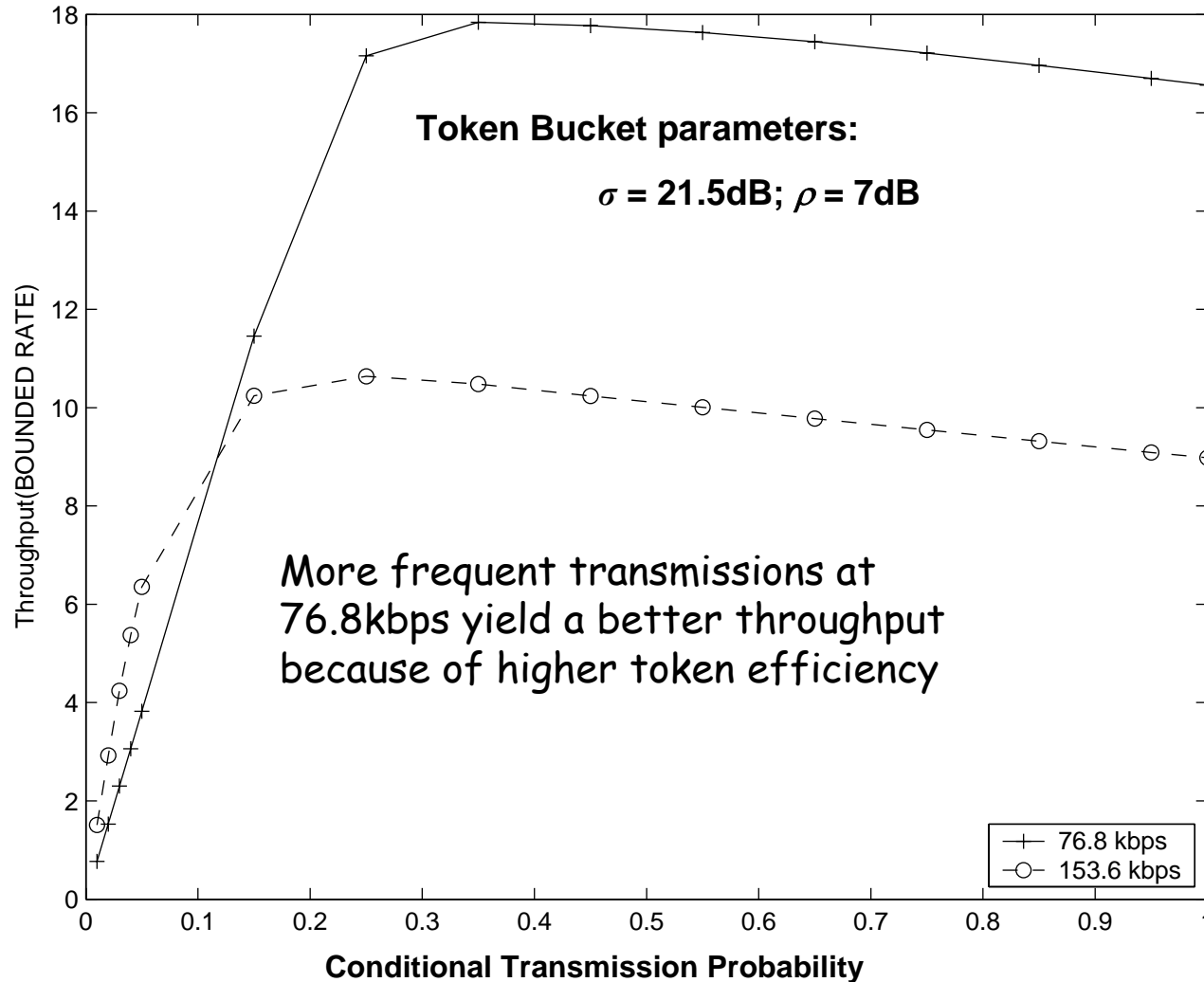
- Given a token bucket configuration (σ, ρ)
 - What are the optimal p^* and K values?
- Two-step formulation
 1. Account for impact of token bucket on transmission decisions
 - Transmissions conditioned on having at least K tokens
 2. Explore possible combinations of p and K values
 - Note that optimality of higher rates need not hold any more because of token constraints (token efficiency)

Token Efficiency

- With 24 users transmission at 153.6kbps yields a higher throughput but a lower token efficiency than transmission at 76.8kbps



Impact of Token Bucket



Analysis vs. Reality

Token Bucket: $\sigma = 21.5\text{dB}$; $\rho = 7\text{dB}$					
Rate (kbps)	Analysis		Simulations (bounded rate model)		
	p_A^*	C_A^*	p_{sim}^*	C_{sim}^*	$C_{\text{sim}}(p_A^*)$
76.8	1.0	26.4	0.35	17.84	16.56
153.6	0.21	42.9	0.25	10.63	10.59

- Expected inaccuracies because of bounded rate
 - But actual impact on throughput is small

Extensions & Future Work

- Recent results
 - Established that similar results also hold for the *bounded* rate model
 - Characterized optimum *centralized* schedule
 - A benchmark against to compare distributed policies
 - A combinatorial problem because of discrete rate values
- Extensions
 - Investigating the impact/use of token bucket for its "original" purpose, namely, service differentiation
 - Rate vs. delay performance targets

Relevant References

1. P. Venkitasubramaniam, S. Adireddy, and L. Tong, "[Opportunistic ALOHA and cross-layer design in sensor networks.](#)" Proc. IEEE MILCOM, Boston, MA, October 2003.
2. P. Venkitasubramaniam, Q. Zhao, and L. Tong, "[Sensor networks with multiple mobile access points.](#)" Proc. 38th Annual Conference on Information Systems and Sciences, Princeton, NJ, March 2004.
3. K. Kumaran, L. Qian, "[Uplink Scheduling in CDMA Packet-Data Systems](#)", INFOCOM 2003.
4. R. Cruz, A. Santhanam, "[Optimal Routing, Link Scheduling and Power Control in Multi-Hop Wireless Networks](#)", INFOCOM 2003.